



Pre-Qualification Questionnaire
East Africa Marine Transport Company Limited
Invitation for a
Request for Prequalification (RFQ)

from
Turnkey Marine Vessel Builders
for the detailed design, engineering and construction of roll-on roll off ferries
for the

LAKE VICTORIA MARINE TRANSPORT PROJECT

a joint development between
Grindrod South Africa Pty Ltd. and InfraCo Africa Limited

Reference Number: 215798

Competitive Procedure with Negotiations

Issued on: 16th May 2019



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PART A – Prequalification Procedures

Section I. Instructions to Applicants

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Section I. Instructions to Applicants (“ITA”)

A. General

1. Scope of Application

- 1.1 This Pre-Qualification Questionnaire (“**Prequalification Questionnaire**” or “**PQQ**”) relates to the procurement project advertised by East Africa Marine Transport Company Limited (“**EAMT**”) in Maritime Executive, Maritime Journal, the website of Private Infrastructure Development Group Ltd (“**PIDG**”), and the OJEU dated 16th May 2019 with reference number **215798**, and is being issued to all organisations (“**Applicants**”) that have responded as being interested in submitting applications (“**Applications**”) for prequalification to bid for the Works and Services described in Part B, Project Description & Information. Grindrod South Africa Pty Ltd. (“**Grindrod**”) and InfraCo Africa Limited (“**InfraCo**”) are jointly developing the Lake Victoria Marine Transport Project (“**LVMT**” or the “**Project**”), through the EAMT, to implement an efficient and scheduled freight transport service across Lake Victoria. eleQtra Limited (“**eleQtra**”), in its capacity as principal developer of InfraCo, will lead the procurement process on behalf of EAMT. InfraCo is part of the PIDG, an infrastructure development and finance organisation delivering pioneering infrastructure in the poorest and most fragile countries. PIDG is funded by six governments (UK, Netherlands, Switzerland, Australia, Sweden, Germany) and the IFC. Grindrod is an integrated freight logistics and shipping service provider, incorporated in South Africa. eleQtra is a private limited company incorporated in England and Wales with expertise in the development of, and investment in, infrastructure projects in Sub-Saharan Africa.
- 1.2 This procurement is being conducted under the Public Contracts Regulations 2015 (as amended) of the United Kingdom (the “**Regulations**”). None of InfraCo, Grindrod, eleQtra or EAMT is a “Contracting Authority” for the purposes of the EU Directive 2014/24/EU (the “**Public Procurement Directive**”). However, InfraCo and PIDG (and eleQtra, in its capacity as principal developer of the InfraCo) is required under its internal governance policies to comply with EU law as though it were a “Contracting Authority”. Accordingly, InfraCo (and eleQtra) is acting as a volunteer in following the Regulations. The Competitive Procedure with Negotiations, under regulation 29 of the Regulations, will be followed. In undertaking this procurement, InfraCo and Grindrod (and eleQtra) intend to comply with best practice and EU core principles and expects and requires all applicants to adhere to the same. Submission of completed PQQs constitutes acceptance of the

provisions of this document.

2. Anti-Corruption Compliance; No Collusion or Canvassing

- 2.1 Each of EAMT, InfraCo, Grindrod and eleQtra requires compliance with all applicable anti-corruption and anti-fraud laws and practices, including the United States Foreign Corrupt Practices Act of 1977, the UK Bribery Act 2010, associated U.S. and U.K. rules and regulations, and relevant Ugandan and Tanzanian anti-corruption legislation.
- 2.2 In further pursuance of this policy, the winning Applicant, prior to Contract Award, shall permit and shall cause its agents to EAMT to inspect all records and other documents relating to the submission of the Application, bid submission (in case prequalified), and contract performance (in the case of award), and to have them audited by auditors appointed by or on behalf of EAMT.
- 2.3 Any attempt by an Applicant, its staff, advisors or agents to influence the pre-qualification process will result in its response being disqualified. Direct or indirect canvassing by an Applicant, its staff, proposed sub-contractors, advisors or agents in relation to this PQQ or any attempt to obtain information, on another Applicant (potential or actual) or another PQQ submission, each of PIDG, EAMT, InfraCo, Grindrod and eleQtra or any of their respective officers, employees agents or advisors will result in disqualification.

3. Eligible Applicants

- 3.1 Applicants shall meet the eligibility criteria as per this set out in Instructions to Applicants (“ITA”) 3 and ITA 4.1 below. For the purpose of applying the eligibility criteria listed in ITA 3 and 4, references to the “Applicant” include all entities involved or intended to be involved with the proposed Works and Services (including all partners and any of their affiliates that directly or indirectly control, or are controlled by or are under common control with the entity), specialized sub-contractors, consultants, manufacturers or suppliers (as mentioned in the Standards Selection Questionnaire), and the personnel of each, for any part of the contract including related services.
- 3.2 An Applicant may be a public, private or government owned legal entity subject to ITA 3.9, or a combination of such entities in the form of a joint venture (incorporated or unincorporated) (“JV”) under an existing agreement or with the intent to enter into such an agreement as evidenced by a letter of intent. In the case of a JV, all members shall be jointly and severally liable for the execution of the Engineering, Procurement and Construction “EPC” Contract in accordance with the EPC Contract terms. The JV shall nominate an authorized representative who shall have the authority to conduct all business for and on behalf of any and all members of the JV during the prequalification process, bidding (in the event the JV submits a bid)

and during contract execution (in the event the JV is awarded the Contract). There is no limit on the number of members in a JV. Where a JV and/or a sub-contracting approach is proposed, all information requested in the PQQ should be given in respect of the proposed joint venture parties and/or the subcontractors and this is referred to as the 'organization' in the evaluation criteria set out below. Applications must enable the EAMT to assess the suitability of the Applicant as a whole. Applicants must provide details of the proposed structure, contractual arrangement and division of technical responsibilities between the intended partners and/or sub-contractors proposed. Where the PQQ application is submitted by the Applicant in 'association' with one or more 'associates' then, in the absence of a statement of the intention to form a joint venture agreement, the 'associate' shall be deemed to be a sub-contractor to the Applicant and shall not be a party to any contract that may subsequently be awarded.

- 3.3 An entity may apply for prequalification both individually, and as part of a joint venture, or as a sub-contractor. If prequalified, it will not be permitted to bid for the same contract both as an individual entity and as a part of the joint venture or as a sub-contractor. However, it may participate as a sub-contractor in more than one bid, but only in that capacity. Bids submitted in violation of this procedure will be rejected.
- 3.4 An entity and any of its affiliates (that directly or indirectly control, are controlled by or are under common control with that entity) may submit its application for prequalification either individually, as joint venture or as a sub-contractor among them for the same contract. However, if prequalified only one prequalified applicant will be allowed to bid for the same contract. All bids submitted in violation of this procedure will be rejected.
- 3.5 An Applicant may have the nationality of any country, subject to the restrictions set out in to ITA 4.1 below. An Applicant shall be deemed to have the nationality of a country if the Applicant is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed specialized sub-contractors or suppliers for any part of the Contract.
- 3.6 Applicants (including their advisors and any sub-contractors) shall not have a conflict of interest. Without limitation of the foregoing, Applicants shall be considered to have a conflict of interest, if they

or their advisors participated as a consultant in the preparation of the design or technical specifications or have been hired or proposed to be hired by or on behalf of EAMT, InfraCo, Grindrod or eleQtra as engineer for contract implementation of the works that are the subject of this prequalification. Applicants are responsible for ensuring that no conflicts of interest exist as between: (i) advisors appointed by the Applicant and those appointed by each of EAMT, InfraCo, Grindrod or eleQtra; (ii) the Applicant and EAMT, InfraCo, Grindrod or eleQtra (or any advisor thereof); and (iii) advisors appointed by the Applicant and EAMT, InfraCo, Grindrod or eleQtra. Applicants must disclose in their completed PQQ submission (a “**PQQ Response**”) any circumstances, including personal, financial and business activities that could or might give rise to any potential or perceived conflict of interest as a result of taking part in this competition or, if awarded, entering into or performing the contract for the works and services. This also applies to any sub-contractors proposed by applicants as part of their PQQ Response or at any subsequent stage of this competition. Where applicants identify any potential conflicts in their PQQ Response, they should state how they intend to avoid or mitigate such conflicts. EAMT reserves the right to reject any PQQ response or tender which, in its opinion, gives rise, or could potentially give rise to, a conflict of interest.

- 3.7 An Applicant shall not be under suspension from bidding as the result of the execution of a bid-securing declaration.
- 3.8 An Applicant shall provide such evidence of eligibility satisfactory to EAMT, as EAMT shall reasonably request.
- 3.9 Government-owned entities shall be eligible only if they can establish that they are legally and financially autonomous, and operate under commercial law.

4. Eligibility

- 4.1 Applicants (including their sub-contractors) shall be ineligible if they or any director, officer or 10%+ shareholder of the Applicant, or any of its associated companies are currently sanctioned by, barred or suspended from doing business by or with the UN, EU or UK and US government or the World Bank. This includes nationals of ineligible countries as indicated in Section V. In addition, countries, persons or entities shall be ineligible if (a) as a matter of law or official regulations, Uganda or Tanzania prohibits commercial relations with that country, person or entity or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, Uganda or Tanzania prohibits any import of goods or contracting of works or services from that country, person or entity,

or any payments to any country, person, or entity in that country; or (c) any of the grounds for rejection as set out in Regulation 57(1), (2) and (3) of the Regulations.

B. Contents of the Pre-Qualification Questionnaire

5. Sections of Pre-Qualification Questionnaire

5.1 The Prequalification Questionnaire consists of parts A and B which comprise all the sections indicated below, and which should be read in conjunction with any Addenda that are issued.

PART A Prequalification Procedures

- Section I. Instructions to Applicants (ITA)
- Section II. Prequalification Data Sheet (PDS)
- Section III. Qualification Criteria and Requirements
- Section IV. Application Forms
- Section V. Eligible Countries

PART B Project Description & Information

- Section VI. Project Description and Outline Scope of Works and Services

5.2 None of EAMT, InfraCo, Grindrod, PIDG or eleQtra accepts any responsibility for the completeness of this document, responses to requests for clarification, or Addenda. In case of any discrepancies, documents issued directly by EAMT shall prevail.

5.3 The Applicant is expected to examine all instructions, forms, and terms in the Pre-Qualification Questionnaire and to furnish with its Application all information or documentation as is required by the Pre-Qualification Questionnaire.

6. Clarification of Pre-Qualification Questionnaire

6.1 A prospective Applicant requiring any clarification of the Pre-Qualification Questionnaire shall contact EAMT in writing at EAMT's electronic mail address indicated in the **PDS**, or through an electronic tender platform which has been established in connection with this tender and is specified in the **PDS**. EAMT will respond in writing to any request for clarification provided that such request is received no later than fourteen (14) days prior to the deadline for submission of the Applications. EAMT shall forward a copy of its response to all prospective Applicants who have obtained the Pre-Qualification Questionnaire directly from EAMT or from the tender electronic platform set up by EAMT, including a description of the inquiry but without identifying its source. Should EAMT deem it necessary to amend the Pre-Qualification Questionnaire as a result of a clarification, it shall do so following the procedure under ITA 7 and

in accordance with the provisions of ITA 16.2.

7. Amendment of Pre-Qualification Questionnaire

- 7.1 EAMT may at any time amend the Pre-Qualification Questionnaire by issuing addenda. None of EAMT, InfraCo, Grindrod, PIDG or eleQtra shall accept any liability for any costs or losses caused to an Applicant as a result thereof.
- 7.2 Any Addendum issued shall be part of the Pre-Qualification Questionnaire and shall be communicated in writing to all prospective Applicants who have obtained the Pre-Qualification Questionnaire from EAMT. If a tender platform is used EAMT shall promptly publish the Addendum on the platform identified in the **PDS**.
- 7.3 To give prospective Applicants reasonable time to take an Addendum into account in preparing their Applications, EAMT, InfraCo, Grindrod, PIDG or eleQtra may, at its discretion, extend the deadline for the submission of Applications in accordance with ITA 16.2.

C. Preparation of Applications

8. Cost of Applications

- 8.1 The Applicant shall bear all costs associated with the preparation and submission of its Application. None of EAMT, InfraCo, Grindrod, PIDG or eleQtra will in any case be responsible or liable for those costs, regardless of the conduct or outcome of the prequalification process.

9. Language of Application

- 9.1 The Application as well as all correspondence and documents relating to the prequalification exchanged by the Applicant and EAMT, shall be written in the language specified in the **PDS**.

10. Documents Comprising the Application

- 10.1 The Application shall comprise the following:
- (a) Application Submission Form, in accordance with ITA 11.1;
 - (b) documentary evidence establishing the Applicant's eligibility, in accordance with ITA 12.1;
 - (c) documentary evidence establishing the Applicant's qualifications, in accordance with ITA 13; and
 - (d) any other document required as specified in the **PDS**.
- 10.2 The Applicant shall furnish information on commissions, gratuities or fees, if any, paid or to be paid to agents or any other party relating to this Application.

11. Application

- 11.1 The Applicant shall complete an Application Submission Form as

Submission Form

provided in Section IV, Application Forms. This Form must be completed without any alteration to its format. Any supporting documents and information required by this PQQ must be submitted as a separate attachment and be clearly referenced commencing with the question number it relates to followed by a short and clear description of the file content. All attachments must be provided in formats compatible with the Microsoft Windows 2019 (*.doc, *.xls, *.ppt etc) or Adobe Acrobat (*.pdf) operating systems.

12. Documents Establishing the Eligibility of the Applicant

12.1 To establish its eligibility in accordance with ITA 4, the Applicant shall complete the eligibility declarations in the Application Submission Form and the Standard Selection Questionnaire Form included in Section IV, Application Forms.

13. Documents Establishing the Qualifications of the Applicant

13.1 To establish its qualifications to perform the contract(s) in accordance with Section III, Qualification Criteria and Requirements, the Applicant shall provide the information requested in the corresponding Information Sheets included in Section IV, Application Forms.

13.2 Wherever an Application Form requires an Applicant to state a monetary amount, Applicants should indicate the USD equivalent using the rate of exchange determined as follows:

- For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.
- Value of single contract - exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the **PDS**. Any error in determining the exchange rates in the Application may be corrected by EAMT.

14. Signing of the Application and Number of Copies

14.1 The Applicant shall prepare one original of the documents comprising the Application as described in ITA 11 and clearly mark it "ORIGINAL". The original of the Application shall be provided electronically in a non-editable electronic format such as non-editable Adobe Acrobat (*.pdf) or equivalent widely used format. The original of the Application shall be signed by a person duly authorized to sign on behalf of the Applicant. In case the Applicant is a JV, the Application shall be signed by an authorized representative of the JV on behalf of the JV and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized signatories. This original Application must be submitted on or before the deadline date indicated in the PDS and in accordance with the identification and timing requirements set forth in ITA 15 and

16 below.

Except as otherwise provided in the PDS, the Applicant may submit one copy of the signed original Application clearly marked “COPY” via postal service to the employers address in the PDS on a CD ROM or USB memory stick in a non-editable electronic format such as non-editable Adobe Acrobat (*.pdf). In the event of any discrepancy between the original and the copies, the original shall prevail.

D. Submission of Applications

15. Sealing and Identification of Applications

- 15.1 The Applicants must submit their Applications electronically to the tender platform specified in the **PDS** and the electronic email address in the **PDS**. The Application and sum of all documents that comprise it must not exceed 10MB.
- 15.2 None of EAMT, InfraCo, Grindrod, PIDG or eleQtra will accept any responsibility for not processing any Application that was not identified as required in ITA 15.1 above.

16. Deadline for Submission of Applications

- 16.1 Applicants must submit their Applications electronically to the tender platform and electronic email address specified in the **PDS**. Applications shall be received by the EAMT at the address and no later than the deadline indicated in the PDS.
- 16.2 EAMT may, at its discretion, extend the deadline for the submission of Applications by amending the Pre-Qualification Questionnaire in accordance with ITA 7, in which case all rights and obligations of EAMT and the Applicants subject to the previous deadline shall thereafter be subject to the deadline as extended.

17. Late Applications

- 17.1 EAMT reserves the right to accept applications received after the deadline for submission of applications, unless otherwise specified in the PDS.

18. Opening of Applications

- 18.1 EAMT shall open all Applications at the date, time and place specified in the PDS. Late Applications shall be treated in accordance with ITA 17.1.

E. Procedures for Evaluation of Applications

19. Confidentiality

- 19.1 Any information provided by EAMT in relation to this process, including in answers to clarification questions from Applicants, is made available on condition that it is treated as confidential (except where it is already in the public domain). Applicants must not disclose any information supplied as part of this procurement process to any third party, member of staff or advisor, unless such person needs to

receive the relevant information for the purposes of enabling a response to any requirement of the PQQ. EAMT may disclose PQQ information to InfraCo, Grindrod, eleQtra or PIDG, the PIDG members, officers, employees, agents or consultants of their respective organisations for the purposes of conducting this competitive exercise and subsequent contract management.

- 19.2 Information relating to the evaluation of applications, and recommendation for prequalification, shall not be disclosed to Applicants or any other persons not officially concerned with such process until the notification of prequalification is made to all Applicants. From the deadline for submission of Applications to the time of notification of the results of the prequalification, any Applicant that wishes to contact EAMT on any matter related to the prequalification process, may do so via electronic mail or regular mail.
- 20. Clarification of Applications**
- 20.1 To assist in the evaluation of Applications, EAMT may, at its discretion, ask an Applicant for a clarification (including missing documents) of its Application, to be submitted within a stated reasonable period of time.
- 20.2 If an Applicant does not provide clarifications and/or documents requested by the date and time set in EAMT's request for clarification, its Application may be rejected or evaluated based on the information and documents available at the time of evaluation of the Application, at EAMT's discretion.
- 21. Responsiveness of Applications**
- 21.1 EAMT may reject any Application which is not responsive to the requirements of the Pre-Qualification Questionnaire.
- 22. Sub-contractors**
- 22.1 At this time, EAMT does not intend to execute any specific elements of the Works and Services by sub-contractors selected in advance.
- 22.2 EAMT permits subcontracting for specialized works as indicated in Section III 4.2, Experience. The specialized sub-contractors experience shall be considered for evaluation. Section III describes the qualification criteria for sub-contractors.
- 22.3 Applicants may propose subcontracting to the percentage of the total value of the contract or the volume of works mentioned in the PDS.
- 22.4 Applicants planning to subcontract more than 10% of total volume of work shall specify, in the Application Submission Form, the activities or parts of the works to be subcontracted along with complete details of the sub-contractors and their qualification and experience. The sub-contractors proposed shall be fully qualified for their work proposed, and meet the specified criteria in Section III, Qualification Criteria and

Requirements, failing which such sub-contractors will not be permitted to participate. Without the prior approval of EAMT, successful Applicants may not replace the nominated subcontractors during the submission of tender, the following negotiations, or during the execution of the EPC contract.

F. Evaluation of Applications and Prequalification of Applicants

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|---|---|
| 23. Evaluation of Applications | 23.1 EAMT shall use the factors, methods, criteria, and requirements defined in Section III, Qualification Criteria and Requirements, to evaluate the qualifications of the Applicants, and no other methods, criteria, or requirements shall be used. EAMT reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of an Applicant to perform the contract. |
| 24. Developer’s Right to Accept or Reject Applications | 24.1 EAMT reserves the right to accept or reject any Application, and to terminate, withdraw or annul all or any part of this prequalification process and reject all Applications at any time, by notice to all Applicants in writing, without thereby incurring any liability for itself, InfraCo, Grindrod, PIDG or eleQtra to the Applicants for any costs or losses resulting therefrom or relating thereto. |
| 25. Prequalification of Applicants | 25.1 All Applicants whose Applications substantially meet or exceed the specified qualification requirements will be prequalified by the Developer.

25.2 An Applicant may be “conditionally prequalified,” that is, qualified subject to the Applicant submitting or correcting certain specified nonmaterial documents or deficiencies to the satisfaction of EAMT.

25.3 Applicants that are conditionally prequalified will be so informed along with the statement of the condition(s) which must be met to the satisfaction of EAMT before or at the time of submitting their bids. |
| 26. Invitation for Bids | 26.1 After completion of the evaluation of the Applications, EAMT shall invite bids from all the Applicants that have been prequalified or conditionally prequalified (the “ Bidding Documents ”). |

26.2 The submitted bids will be evaluated and awarded on the basis of the most economically advantageous tender (“MEAT”) which will include an assessment of quality/technical, price and cost-effectiveness; and will be described in more detail in the Bidding Documents. Successful bidder shall be required to provide a performance security as specified in the Bidding Documents.

26.3 EAMT reserves the right to (i) not proceed to the invitation to bid stage or conclude a contract for some or all of the works and services, and (ii) amend, add to or withdraw all or any part of any subsequent invitation to bid at any time during the procurement exercise, without thereby incurring any liability for itself, InfraCo, Grindrod, PIDG or eleQtra to the Applicants for any costs or losses resulting therefrom or relating thereto.

27. Changes in Qualifications of Applicants

27.1 EAMT must be informed immediately in writing of any change in the structure, formation, control, composition or membership of an Applicant (including, in the case of a JV, any such change with respect to any member thereto) which takes place at any time prior to execution of the contract for the works and services. This requirement applies to any change in subcontractor(s). Applicants accept that a change which is considered by EAMT in its sole and absolute discretion to be material may lead to exclusion from the process.

Section II. Prequalification Data Sheet

A. General

ITA Reference:	
ITA 1.1	<p>The identification Reference Number is: 215798</p> <p>The Employer will be:</p> <p>East Africa Marine Transport Company Ltd Acacia Place 4th Floor Plot 6 Acacia Avenue P.O. Box 35501 Kololo, Kampala Uganda</p> <p><i>All correspondence to EAMT should be copied to</i></p> <p>eleQtra Limited 5 Margaret Street London, W1W 8RG United Kingdom</p> <p>The contract is:</p> <p>Turnkey Design and Build Service</p>
ITA 1.1	The name of the Project is: Lake Victoria Marine Transport Project “LVMT”

B. Contents of the Pre-Qualification Questionnaire

ITA 6.1	<p>For clarification purposes, EAMT's address is:</p> <p>Name: eleQtra Ltd.</p> <p>Attention: RFQ Process</p> <p>Address: Acacia Place 4th Floor Plot 6 Acacia Avenue</p> <p>City: Kampala</p> <p>P.O. Box: 35501</p> <p>Country: Uganda</p> <p>Electronic mail address: eamtprocurementMVB@eleqtra.com</p>
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ITA 6.1 & 7.2	https://www.mytenders.co.uk/search/Search_AuthProfile.aspx?ID=AA35589
C. Preparation of Applications	
ITA 9.1	This Prequalification document has been issued in the English language. All correspondence exchange and the Application shall be in the English language.
ITA 10.1	No other documents.
ITA 13.2	The source for determining exchange rates is www.oanda.com
D. Submission of Applications	
ITA 15.1 & 16.1	The deadline for Application submission is: Date: 28 June 2019 Time: 12:00 GMT Applicants shall submit a copy of their Applications on or before the deadline date electronically to: https://www.mytenders.co.uk/search/Search_AuthProfile.aspx?ID=AA35589 Applicants shall submit a copy of their Applications on or before the deadline date electronically via email to eamtprocurementMVB@eleqtra.com
ITA 17.1	The Developer will reject any late Applications.
ITA 18.1	The opening of the Applications shall be on 01 July 2019.
E. Procedures for Evaluation of Applications	
ITA 22.3	A maximum percentage of subcontracting is not set.

Section III. Qualification Criteria and Requirements

This Section contains all the methods, criteria, and requirements that EAMT shall use to evaluate Applications. The information to be provided in relation to each requirement and the definitions of the corresponding terms are included in the respective Application Forms.

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Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
1. Eligibility¹							
1.1	Nationality	Nationality in accordance with ITA 3.5 and 4.1	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Standard Selection Questionnaire Form
1.2	Conflict of Interest	No conflicts of interest in accordance with ITA 3.6 or regulation 24 of the Public Contracts Regulations 2015	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form with any attachments and Standard Selection Questionnaire Form
1.3	Ineligibility due to sanctions (UN, WB etc), Ugandan or Tanzanian law or under Regulation 57(1), (2) and (3) of the Public Contracts Regulations 2015	Not having been excluded as a result of prohibition in the country of Uganda and Tanzania (as a matter of law or official regulations) against commercial relations with the Applicant's country, or by an act of compliance with UN Security Council resolution, or not having been declared ineligible by UN or EU or by the UK and US government or the World Bank, or not having been excluded as a result of the application of any of the grounds for rejection as set out in Regulation 57(1), (2) and (3) of the Public Contracts Regulations 2015, in accordance with ITA 4.1; or otherwise excluded in accordance with Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Standard Selection Questionnaire Form, with attachments and Application Submission Form
1.4	Anti-Corruption	Compliance with all applicable anti-corruption and anti-fraud laws and practices in accordance with ITA	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission

¹ If an Applicant fails one of the categories noted in this Section 1, the Applicant will be eliminated unless the relevance and proportionality of the reason for failure is deemed by the Developer in its sole and absolute discretion not to be of material significance.

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
	Compliance	2.1					Form
1.5	Anti-Competitive Behaviour	Acceptance that any anti-competitive behaviour, canvassing, collusion, or any attempt to distort the market in any way, could preclude your organisation from taking part in this process.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
1.6	Compliance with PIDG Anticorruption and Integrity Policy and Procedures	Confirmation that you will comply with PIDG Anticorruption and Integrity Policy and Procedures (available at https://www.pidg.org/resources/?filter_cat=operating-policies-and-procedures)	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
1.7	World Bank Group Guidelines.	Confirmation that you shall respect and adhere to the World Bank Group Environmental, Health, and Safety Guidelines.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
1.8	Adherence to Internationally Agreed Core Labour Standards	Confirmation that you shall respect and adhere to internationally agreed core labour standards, in particular the conventions related to freedom of association and bargaining, elimination of forced and compulsory labour, elimination of discrimination in respect of employment and occupation and the abolition of child labour.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
1.9	Compliance with 2010 Equality Act (or equivalent)	Positive answer to the question: is it your policy, as an employer, to comply with the 2010 Equality Act (or equivalent) in relation to decisions to recruit, train, promote employees and foster good relations in respect of age, disability, gender, gender reassignment, race, religion or belief, sexual orientation.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Standard Selection Questionnaire Form, with attachments
1.10	Findings of Unlawful Discrimination	Negative response to the question: in the last three years, has any finding of unlawful discrimination in the employment field been made against you by any court or tribunal or in comparable proceedings in any other jurisdiction?	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Standard Selection Questionnaire Form, with attachments

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
1.11	Investigation by the Equality and Human Rights Commission or the equivalent organisation in Applicants country of registration	Negative response to the question: in the last three years, have you been or are you in the process of being the subject of formal investigation by the Equality and Human Rights Commission, or the equivalent organisation in Applicants country of registration, on the grounds of alleged unlawful discrimination in the employment field?	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Standard Selection Questionnaire Form, with attachments

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
2. Historical Contract Non-Performance							
2.1	History of Non-Performing Contracts	Non-performance of a contract ² did not occur as a result of contractor’s default since 1 st June 2014.	Must meet requirement ²	Must meet requirement	Must meet requirement ³	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid Securing Declaration	Not under suspension based on execution of a bid securing declaration pursuant to ITA 3.7.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Application Submission Form
2.3	Pending Litigation	Applicant’s financial position and prospective long term profitability are still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Applicant	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
2.4	Litigation History	No court/arbitral award decisions against the Applicant ⁴ since 1 st June 2014	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2

² Non-performance, as decided by EAMT, shall include all contracts where (a) non-performance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Non-performance shall not include contracts where decision was overruled by the dispute resolution mechanism. Non-performance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the applicant have been exhausted.

³ This requirement also applies to contracts executed by the Applicant as a JV member.

⁴ The Applicant shall provide accurate information on the related Application Form about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of awards against the Applicant or any member of a joint venture may result in failure of the application.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
3. Financial Situation and Performance							
3.1	Financial Capabilities - Construction	<p>(i) The Applicant shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as USD \$ 10 Million for the subject contract(s) net of the Applicants other commitments</p> <p>(ii) The Applicant shall also demonstrate, to the satisfaction of the EAMT, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.</p> <p>(iii) The audited balance sheets or, if not required by the laws of the Applicant's country, other financial statements acceptable to the Developer, for the last three years shall be submitted and must demonstrate the current soundness of the Applicant's financial position and indicate its prospective long-term profitability.</p>	<p>(i) Must meet requirement</p> <p>(ii) Must meet requirement</p> <p>(iii) Must meet requirement</p>	<p>(i) Must meet requirement</p> <p>(ii) Must meet requirement</p> <p>(iii) N/A</p>	<p>(i) N/A</p> <p>(ii) N/A</p> <p>(iii) Must meet requirement</p>	<p>(i) N/A</p> <p>(ii) N/A</p> <p>(iii) N/A</p>	Form FIN – 3.1, with attachments
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover of US\$15 Million, calculated as total certified payments received for contracts in progress and/or completed within the last five years, divided by five years	Must meet requirement	Must meet requirement	N/A	N/A	Form FIN – 3.2
3.3	Financial Thresholds	<p>(i) Minimum cash and cash equivalents (together), of US\$5 Million, for audited financial statements of the last three years.</p> <p>(ii) Minimum total Shareholders' Equity of US\$10 Million, for audited financial statements of the last</p>	<p>(i) Must meet requirement</p> <p>(ii) Must meet</p>	<p>(i) Must meet requirement</p> <p>(ii) Must meet</p>	N/A	N/A	Form FIN – 3.2

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
		three years. (iii) Minimum annual operating revenue in each of the last three years of US\$5 Million. (iv) Gearing (Total Assets / Total Liabilities) must be greater than one for at least four out of the last five years	requirement (iii) Must meet requirement (iv) Must meet requirement	requirement (iii) Must meet requirement (iv) Must meet requirement			

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
4. Experience							
4.1 (a)	General Construction Experience	Experience under large (greater than 50.0 meters in length) RoRo cargo vessel or RoRo Passenger (RoPax) vessel construction contracts in the role of prime contractor, JV member, sub-contractor, or management contractor for at least the last five years, starting 1 st January 2013.	Must meet requirement	N/A	N/A	Must meet requirement	Form EXP – 4.1
4.2 (a)	Specific Construction & Contract Management Experience	A minimum of three similar ⁵ contracts, each of minimum value US\$5 Million, that have been satisfactorily and substantially ⁶ completed as a prime contractor, JV member ⁷ , management contractor or sub-contractor ⁷ between 1st January 2008 and application submission deadline.	Must meet requirement	Must meet requirement ⁸	N/A	N/A	Form EXP - 4.2(a)

⁵ The similarity shall be based on the location, physical size, complexity, methods/technology and/or other characteristics described in Part 2, Project Description & Information. Summation of number of small value contracts (less than the value specified under requirement) to meet the overall requirement will not be accepted.

⁶ Substantial completion shall be based on 80% or more works completed under the contract.

⁷ For contracts under which the Applicant participated as a joint venture member or sub-contractor, only the Applicant's share, by value, shall be considered to meet this requirement.

⁸ In the case of a JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Parties Combined	Each Member	One Member	
4.2 (b)		<p>For the above and any other contracts [substantially completed and under implementation] as prime contractor, joint venture member, or sub-contractor⁷ between 1st January 2008 and Application submission deadline, a minimum construction experience in the following key activities successfully completed⁹:</p> <p>i) Designed, build and delivery of 1 commercial RoRo cargo vessel or RoPax vessel of at least 50.0 m or greater; according to or certified by an IACS recognized class society rules and regulations;</p> <p>ii) Built and delivered at least 1 commercial RoRo cargo or RoPax vessel away from own shipyard/production site at an inland location;</p>	Must meet requirement (may be met through a specialized sub-contractor)	Must meet requirement (may be met through a specialized sub-contractor)	N/A	N/A	Form EXP - 4.2(b)

⁹ Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Section IV. Application Forms

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Application Submission Form

Date: *[insert day, month, and year]*

To: East Africa Marine Transport Company Limited .

We, the undersigned, apply to be prequalified for the referenced project and declare that:

- (a) We have examined and have no reservations to the Pre-Qualification Questionnaire, including any addenda issued in accordance with Instructions to Applicants (ITA);
- (b) We, including any sub-contractors or suppliers for any part of the contract resulting from this prequalification process, agree to (i) comply with all applicable anti-corruption and anti-fraud laws and practices and will permit inspections in accordance with ITA 2, (ii) not engage in collusion or canvassing in accordance with ITA 2.3, (iii) comply with PIDG Anticorruption and Integrity Policy and Procedures (available at https://www.pidg.org/resources/?filter_cat=operating-policies-and-procedures), (iv) comply with the World Bank Group Environmental, Health, and Safety Guidelines, and (v) respect and adhere to internationally agreed core labour standards, in particular the conventions related to freedom of association and bargaining, elimination of forced and compulsory labour, elimination of discrimination in respect of employment and occupation and the abolition of child labour;
- (c) We, including any sub-contractors or suppliers for any part of the contract resulting from this prequalification process, have no conflict of interest, and except as otherwise disclosed in an attachment hereto, have no perceived or potential conflict of interest, in each case, in accordance with ITA 3.6;
- (c) We, including any sub-contractors or suppliers for any part of the contract resulting from this prequalification process, (i) meet the eligibility requirements as stated ITA 3.1 and (ii) have not been suspended based on execution of a bid securing declaration in accordance with ITA 3.7;
- (d) *[insert either "we are not a Government owned entity" or "we are a Government entity, and we meet the requirements of ITA 3.9];*
- (e) We, including any sub-contractors or suppliers for any part of the contract resulting from this prequalification process, are not ineligible under the requirements of ITA 4.1;
- (f) We, in accordance with ITA 22.2, plan to subcontract the following key activities and/or parts of the works:

[Insert any of the key activities identified in Section III- 4.2(a) or (b) which the Applicant intends to subcontract along with complete details of the sub-contractors, their qualification and experience]
- (g) We declare that the following commissions, gratuities, or fees have been paid or are to be paid with respect to the prequalification process, the corresponding bidding process or execution of the Contract:

<u>Name of Recipient</u>	<u>Address</u>	<u>Reason</u>	<u>Amount</u>
<i>[insert full name for each occurrence]</i>	<i>[insert street/number/city/country]</i>	<i>[indicate reason]</i>	<i>[specify amount currency, value, exchange rate and US\$ equivalent]</i>
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

[If no payments are made or promised, add the following statement: "No commissions or gratuities have been or are to be paid by us to agents or any third party relating to this Application]

- (h) We understand that you may cancel the prequalification process at any time and that you are neither bound to accept any Application that you may receive nor to invite the prequalified Applicants to bid for the contract subject of this prequalification process, without incurring any liability to the Applicants, in accordance with ITA 24.1.
- (i) All information, statements and description contained in the Application are in all respects true, correct and complete to the best of our knowledge and belief.

Signed *[insert signature(s) of an authorized representative(s) of the Applicant]*

Name *[insert full name of person signing the Application]*

In the capacity of *[insert capacity of person signing the Application]*

Duly authorized to sign the Application for and on behalf of:

Applicant's Name *[insert full name of Applicant or the name of the JV]*

Address *[insert street number/town or city/country address]*

Dated on *[insert day number]* day of *[insert month]*, *[insert year]*

[For a joint venture, either all members shall sign or only the authorized representative, in which case the power of attorney to sign on behalf of all members shall be attached]

Standard Selection Questionnaire Form

Potential Supplier Information and Exclusion Grounds: Part 1 and Part 2.

The standard Selection Questionnaire is a self-declaration, made by you (the potential supplier), that you do not meet any of the grounds for exclusion¹⁰. If there are grounds for exclusion, there is an opportunity to explain the background and any measures you have taken to rectify the situation (we call this self-cleaning).

A completed declaration of Part 1 and Part 2 provides a formal statement that the organisation making the declaration has not breached any of the exclusions grounds. Consequently we require all the organisations that you will rely on to meet the selection criteria to provide a completed Part 1 and Part 2. For example these could be parent companies, affiliates, associates, or essential sub-contractors, if they are relied upon to meet the selection criteria. This means that where you are joining in a group of organisations, including joint ventures and partnerships, each organisation in that group must complete one of these self-declarations. Sub-contractors that you rely on to meet the selection criteria must also complete a self-declaration (although sub-contractors that are not relied upon do not need to complete the self-declaration).

When completed, this form is to be sent back to the contact point given in the procurement documents along with the selection information requested in the procurement documentation.

Supplier Selection Questions: Part 3

The procurement document will provide instructions on the selection questions you need to respond to and how to submit those responses. If you are bidding on behalf of a group (consortium) or you intend to use sub-contractors, you should complete all of the selection questions on behalf of the consortium and/or any sub-contractors.

If the relevant documentary evidence referred to in the Selection Questionnaire is not provided upon request and without delay we reserve the right to amend the contract award decision and award to the next compliant bidder.

Consequences of misrepresentation

If you misrepresent any factual information in filling in the Selection Questionnaire, and so induce an authority to enter into a contract, there may be significant consequences. You may be excluded from the procurement procedure, and from bidding for other contracts for three years. If a contract has been entered into you may be sued for damages and the contract may be rescinded. If fraud, or fraudulent intent, can be proved, you or your responsible officers may be prosecuted and convicted of the offence of fraud by false representation, and you will be excluded from further procurements for five years.

¹⁰ For the list of exclusion please see https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/551130/List_of_Mandatory_and_Discretionary_Exclusions.pdf

Notes for completion

1. The “authority” means the EAMT or anyone acting on behalf of EAMT, that is seeking to invite suitable candidates to participate in this procurement process.
2. “You” / “Your” refers to the potential supplier completing this standard Selection Questionnaire i.e. the legal entity responsible for the information provided. The term “potential supplier” is intended to cover any economic operator as defined by the Public Contracts Regulations 2015 (referred to as the “regulations”) and could be a registered company; the lead contact for a group of economic operators; charitable organisation; Voluntary Community and Social Enterprise (VCSE); Special Purpose Vehicle; or other form of entity.
3. Please ensure that all questions are completed in full, and in the format requested. If the question does not apply to you, please state ‘N/A’. Should you need to provide additional information in response to the questions, please submit a clearly identified annex.
4. The authority recognises that arrangements set out in section 1.2 of the standard Selection Questionnaire, in relation to a group of economic operators (for example, a consortium) and/or use of sub-contractors, may be subject to change and will, therefore, not be finalised until a later date. The lead contact should notify the authority immediately of any change in the proposed arrangements and ensure a completed Part 1 and Part 2 is submitted for any new organisation relied on to meet the selection criteria. The authority will make a revised assessment of the submission based on the updated information.
5. For Part 1 and Part 2 every organisation that is being relied on to meet the selection must complete and submit the self-declaration.
6. All sub-contractors are required to complete Part 1 and Part 2¹¹.
7. For answers to Part 3 - If you are bidding on behalf of a group, for example, a consortium, or you intend to use sub-contractors, you should complete all of the questions on behalf of the consortium and/ or any sub-contractors, providing one composite response and declaration.

The authority confirms that it will keep confidential and will not disclose to any third parties any information obtained from a named customer contact, other than to the Cabinet Office and/or contracting authorities defined by the regulations, or pursuant to an order of the court or demand made by any competent authority or body where the authority is under a legal or regulatory obligation to make such a disclosure.

¹¹ See PCR 2015 regulations 71 (8)-(9)

Part 1: Potential supplier Information

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 1	Potential supplier information	
Question number	Question	Response
1.1(a)	Full name of the potential supplier submitting the information	
1.1(b) – (i)	Registered office address (if applicable)	
1.1(b) – (ii)	Registered website address (if applicable)	
1.1(c)	Trading status a) public limited company b) private limited company c) limited liability partnership d) other partnership e) sole trader f) third sector g) other (please specify your trading status)	
1.1(d)	Date of registration in country of origin	
1.1(e)	Company registration number (if applicable)	
1.1(f)	Charity registration number (if applicable)	
1.1(g)	Head office DUNS number (if applicable)	
1.1(h)	Registered VAT number	
1.1(i) - (i)	If applicable, is your organisation registered with the appropriate professional or trade register(s) in the member state where it is established?	Yes <input type="checkbox"/> No <input type="checkbox"/> N/A <input type="checkbox"/>
1.1(i) - (ii)	If you responded yes to 1.1(i) - (i), please provide the relevant details, including the registration number(s).	
1.1(j) - (i)	Is it a legal requirement in the state where you are established for you to possess a particular authorisation, or be a member of a particular organisation in order to provide the services specified in this procurement?	Yes <input type="checkbox"/> No <input type="checkbox"/>
1.1(j) - (ii)	If you responded yes to 1.1(j) - (i), please provide additional details of what is required and confirmation that you have complied with this.	

1.1(k)	Trading name(s) that will be used if successful in this procurement	
1.1(l)	Relevant classifications (state whether you fall within one of these, and if so which one) Voluntary Community Social Enterprise (VCSE) Sheltered Workshop Public service mutual	
1.1(m)	Are you a Small, Medium or Micro Enterprise (SME) ¹² ?	Yes <input type="checkbox"/> No <input type="checkbox"/>
1.1(n)	Details of Persons of Significant Control (PSC), where appropriate: ¹³ - Name; - Date of birth; - Nationality; - Country, state or part of the UK where the PSC usually lives; - Service address; - The date he or she became a PSC in relation to the company (for existing companies the 6 April 2016 should be used); - Which conditions for being a PSC are met; - Over 25% up to (and including) 50%, - More than 50% and less than 75%, - 75% or more. (Please enter N/A if not applicable)	
1.1(o)	Details of immediate parent company: - Full name of the immediate parent company - Registered office address (if applicable) - Registration number (if applicable) - Head office DUNS number (if applicable) - Head office VAT number (if applicable) (Please enter N/A if not applicable)	
1.1(p)	Details of ultimate parent company: - Full name of the ultimate parent company - Registered office address (if applicable) - Registration number (if applicable)	

¹² See EU definition of SME https://ec.europa.eu/growth/smes/business-friendly-environment/sme-definition_en

¹³ UK companies, Societates European (SEs) and limited liability partnerships (LLPs) will be required to identify and record the people who own or control their company. Companies, SEs and LLPs will need to keep a PSC register, and must file the PSC information with the central public register at Companies House. [See PSC guidance.](#)

	<ul style="list-style-type: none">- Head office DUNS number (if applicable)- Head office VAT number (if applicable) <p>(Please enter N/A if not applicable)</p>	
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Please note: A criminal record check for relevant convictions may be undertaken for the preferred suppliers and the persons of significant in control of them.

Please provide the following information about your approach to this procurement:

Section 1	Bidding model				
Question number	Question	Response			
1.2(a) - (i)	Are you bidding as the lead contact for a group of economic operators?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details listed in questions 1.2(a) (ii), (a) (iii) and to 1.2(b) (i), (b) (ii), 1.3, Section 2 and 3. If no, and you are a supporting bidder please provide the name of your group at 1.2(a) (ii) for reference purposes, and complete 1.3, Section 2 and 3.			
1.2(a) - (ii)	Name of group of economic operators (if applicable)				
1.2(a) - (iii)	Proposed legal structure if the group of economic operators intends to form a named single legal entity prior to signing a contract, if awarded. If you do not propose to form a single legal entity, please explain the legal structure.				
1.2(b) - (i)	Are you or, if applicable, the group of economic operators proposing to use sub-contractors?	Yes <input type="checkbox"/> No <input type="checkbox"/>			
1.2(b) - (ii)	If you responded yes to 1.2(b)-(i) please provide additional details for each sub-contractor in the following table: we may ask them to complete this form as well.				
	Name				
	Registered address				
	Trading status				
	Company registration number				
	Head Office DUNS number (if applicable)				
	Registered VAT number				
	Type of organisation				
	SME (Yes/No)				
	The role each sub-contractor will take in providing the works and /or supplies e.g. key deliverables				
	The approximate % of contractual obligations assigned to each sub-contractor				

Contact details and declaration

I declare that to the best of my knowledge the answers submitted and information contained in this document are correct and accurate.

I declare that, upon request and without delay I will provide the certificates or documentary evidence referred to in this document.

I understand that the information will be used in the selection process to assess my organisation’s suitability to be invited to participate further in this procurement.

I understand that the authority may reject this submission in its entirety if there is a failure to answer all the relevant questions fully, or if false/misleading information or content is provided in any section.

I am aware of the consequences of serious misrepresentation.

Section 1	Contact details and declaration	
Question number	Question	Response
1.3(a)	Contact name	
1.3(b)	Name of organisation	
1.3(c)	Role in organisation	
1.3(d)	Phone number	
1.3(e)	E-mail address	
1.3(f)	Postal address	
1.3(g)	Signature (electronic is acceptable)	
1.3(h)	Date	

Part 2: Exclusion Grounds

Please answer the following questions in full. Note that every organisation that is being relied on to meet the selection must complete and submit the Part 1 and Part 2 self-declaration.

Section 2	Grounds for mandatory exclusion	
Question number	Question	Response
2.1(a)	<p>Regulations 57(1) and (2) The detailed grounds for mandatory exclusion of an organisation are set out on this webpage, which should be referred to before completing these questions. Please indicate if, within the past five years you, your organisation or any other person who has powers of representation, decision or control in the organisation has been convicted anywhere in the world of any of the offences within the summary below and listed on the webpage.</p>	
	Participation in a criminal organisation.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 2.1(b)
	Corruption.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 2.1(b)
	Fraud.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 2.1(b)
	Terrorist offences or offences linked to terrorist activities	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 2.1(b)
	Money laundering or terrorist financing	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes please provide details at 2.1(b)
	Child labour and other forms of trafficking in human beings	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 2.1(b)
2.1(b)	If you have answered yes to question 2.1(a), please provide further details. Date of conviction, specify which of the grounds listed the conviction was for, and the reasons for conviction, Identity of who has been convicted If the relevant documentation is available electronically please provide the web address, issuing authority, precise reference of the documents.	
2.2	If you have answered Yes to any of the points above have measures been taken to demonstrate	Yes <input type="checkbox"/> No <input type="checkbox"/>

	the reliability of the organisation despite the existence of a relevant ground for exclusion ? (Self Cleaning)	
2.3(a)	Regulation 57(3) Has it been established, for your organisation by a judicial or administrative decision having final and binding effect in accordance with the legal provisions of any part of the United Kingdom or the legal provisions of the country in which the organisation is established (if outside the UK), that the organisation is in breach of obligations related to the payment of tax or social security contributions?	Yes <input type="checkbox"/> No <input type="checkbox"/>
2.3(b)	If you have answered yes to question 2.3(a), please provide further details. Please also confirm you have paid, or have entered into a binding arrangement with a view to paying, the outstanding sum including where applicable any accrued interest and/or fines.	

Please Note: The authority reserves the right to use its discretion to exclude a potential supplier where it can demonstrate by any appropriate means that the potential supplier is in breach of its obligations relating to the non-payment of taxes or social security contributions.

Section 3	Grounds for discretionary exclusion	
Question number	Question	Response
3.1	<p>Regulation 57 (8)</p> <p>The detailed grounds for discretionary exclusion of an organisation are set out on this webpage, which should be referred to before completing these questions.</p> <p>Please indicate if, within the past three years, anywhere in the world any of the following situations have applied to you, your organisation or any other person who has powers of representation, decision or control in the organisation.</p>	
3.1(a)	Breach of environmental obligations?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1 (b)	Breach of social obligations?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1 (c)	Breach of labour law obligations?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(d)	Bankrupt or is the subject of insolvency or winding-up proceedings, where the organisation's assets are being administered by a liquidator or by the court, where it is in an arrangement with creditors, where its business activities are suspended or it is in any analogous situation arising from a similar procedure under the laws and regulations of any State?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(e)	Guilty of grave professional misconduct?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(f)	Entered into agreements with other economic operators aimed at distorting competition?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(g)	Aware of any conflict of interest within the meaning of regulation 24 due to the participation in the procurement procedure?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(h)	Been involved in the preparation of the procurement procedure?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(i)	Shown significant or persistent deficiencies in the performance of a substantive requirement under a prior public contract, a prior contract with a contracting entity, or a prior concession	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2

Section IV. Application Forms

	contract, which led to early termination of that prior contract, damages or other comparable sanctions?	
3.1(j)	Please answer the following statements	
3.1(j) - (i)	The organisation is guilty of serious misrepresentation in supplying the information required for the verification of the absence of grounds for exclusion or the fulfilment of the selection criteria.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(j) - (ii)	The organisation has withheld such information.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(j) –(iii)	The organisation is not able to submit supporting documents required under regulation 59 of the Public Contracts Regulations 2015.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.1(j)-(iv)	The organisation has influenced the decision-making process of the authority to obtain confidential information that may confer upon the organisation undue advantages in the procurement procedure, or to negligently provided misleading information that may have a material influence on decisions concerning exclusion, selection or award.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 3.2
3.2	If you have answered Yes to any of the above, explain what measures been taken to demonstrate the reliability of the organisation despite the existence of a relevant ground for exclusion? (Self Cleaning)	

Part 3: Selection Questions

Additional Questions

Suppliers who self-certify that they meet the requirements to these additional questions will be required to provide evidence of this if they are successful at contract award stage.

Section 8	Additional Questions	
Question number	Question	Response
8.1	Technical and Environmental Certification and Standards Organisations are advised that the requirement under this section are PASS/FAIL. The authority reserves the right to use its discretion to exclude a potential supplier unless satisfied with the explanation or provided detail.	
8.1 (a)	Is your company accredited with / can demonstrate accreditation to ISO 9001 (2015) or equivalent?	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.1 (b)	Do you hold a recognised Quality Management System certification from an independent body attesting conformity to quality assurance standards based on the European standard or other equivalent internationally recognised quality standard?	Yes <input type="checkbox"/> No <input type="checkbox"/> If No, please provide details of quality management system or reason for lack of one at 8.2
8.1 (c)	Does your organisation hold a UKAS (or equivalent) accredited independent third party certificate of compliance with BS EN ISO 14001 (2015) or a valid EMAS certificate or a demonstrably equivalent Environmental Management System certification?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, your organisation does <u>not</u> need to complete the remainder of the questions (d) below
8.1 (d) (i)	Does your organisation have a documented policy and organisational structure for the management of construction-related environmental issues?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.1 (d) (ii)	Do you have documented arrangements for ensuring that your environmental management procedures (e.g. sustainable materials procurement, waste management and energy management) are effective in reducing/preventing significant impacts on the environment?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.1 (d) (iii)	If yes to (d)(ii), are these are communicated to the workforce? You may be asked to provide evidence that your organisation's environmental policy	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2

	implementation plan provides information as to how the company aims to discharge relevant legal responsibilities and provides clear indication of how these arrangements are communicated to the workforce in relation to environmental matters. This should include arrangements for responding to, monitoring and recording environmental incidents and emergencies and complaints.	
8.1 (d) (iv)	Do you have arrangements for providing employees who will engage in construction, with training (including refresher training) and information on construction-related environmental issues?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.1 (d) (v)	Do you check review and where necessary improve your environmental management performance?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.1 (d) (vi)	Do you have arrangements for ensuring that any suppliers and sub-contractors that you engage apply environmental protection measures that are appropriate to the activity for which they are being engaged?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.1 (d) (vii)	Does your organisation have a supply chain management procedure?	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details at 8.2
8.2	If you have answered No to 8.1 (b), or Yes to any question in 8.1 (d) please provide details as requested.	
8.3	Health and Safety Standards Organisations are advised that the requirement under this section are PASS/FAIL. The authority reserves the right to use its discretion to exclude a potential supplier unless satisfied with the explanation or provided detail.	
8.3 (a)	Does your organization have a Health and Safety (“H&S”) management system recognized by international standards, ISO 45001 or equivalent?	Yes <input type="checkbox"/> No <input type="checkbox"/> If No, please provide details of Health and Safety management system or reason for lack of one at 8.4
8.3 (b)	Does your organisation have a policy and process for providing your staff/workforce with training (including refresher) and information on good health and safety practice appropriate to the types of activity your organization is likely to undertake?	Yes <input type="checkbox"/> No <input type="checkbox"/>

Section IV. Application Forms

8.3 (c)	Do your staff/workforce have health and safety or other relevant qualifications and experience to implement your H&S policy to a standard appropriate to the activity that your organisation is likely to undertake?	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.3 (d)	Do you routinely record and review accidents/incidents and undertake follow-up action?	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.3 (e)	Do you have arrangements for ensuring that your suppliers and sub-contractors apply H&S measures to a standard appropriate to the activity for which they are being engaged?	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.3 (f)	Do you operate a process of risk assessment capable of supporting safe methods of work based on World Bank EHS guidelines and reliable project delivery where necessary?	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.3 (g)	Do you have arrangements for co-operating and coordinating your services with others (including suppliers, notably sub-contractors)? Including method statements, safe systems of work etc.	Yes <input type="checkbox"/> No <input type="checkbox"/>
8.3 (h)	Has your organisation or any of its Directors or Executive Officers been in receipt of enforcement/remedial orders in relation to the Health and Safety Executive (or equivalent body) in the last 3 years? If your answer to this question was “Yes”, please provide details in a separate annex of any enforcement/remedial orders served and give details of any remedial action or changes to procedures you have made as a result.	Yes <input type="checkbox"/> No <input type="checkbox"/> If Yes, please provide details demonstrating that appropriate remedial action has been taken to prevent future occurrences or breaches on 8.4.
8.3 (i)	If you use sub-contractors, do you have processes in place to check whether any of the above circumstances apply to these other organisations?	Yes <input type="checkbox"/> No <input type="checkbox"/>

8.4	If you have answered No to 8.3 (a), or Yes to 8.3 (h), please provide details as requested.	
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Form CON – 2: Historical Contract Non-Performance, Pending Litigation and Litigation History

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: *[insert full name]*
 Date: *[insert day, month, year]*
 Joint Venture Party Name: *[insert full name]*
 Page *[insert page number]* of *[insert total number]* pages

Non-Performed Contracts in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Qualification Criteria and Requirements, requirement 2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Qualification Criteria and Requirements			
<input type="checkbox"/> No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.2.			
<input type="checkbox"/> Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.2 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert amount]</i>	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>
<input type="checkbox"/> No pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.2. <input type="checkbox"/> Pending litigation in accordance with Section III, Qualification Criteria and Requirements, Sub-Factor 2.2 as indicated below.			
Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	Contract Identification: [indicate complete contract name, number, and any other identification] Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Matter in dispute: <i>[indicate main issues in dispute]</i> Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i> Status of dispute: <i>[Indicate if it is being treated by the Adjudicator, under Arbitration or being dealt with by the Judiciary]</i>	<i>[insert amount]</i>

Form FIN – 3.1: Financial Situation and Performance

[The following table shall be filled in for the Applicant and for each member of a Joint Venture]

Applicant's Name: [insert full name]

Date: [insert day, month, and year]

Applicant's Party Name: [insert full name]

Page [insert page number] of [insert total number] pages

1. Financial data

Type of Financial information in (currency)	Historic information for previous <i>[insert number]</i> years, <i>[insert in words]</i> (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year 4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

* Refer ITA 13 for the exchange rate

2. Sources of Finance

[The following table shall be filled in for the Applicant and all parties combined in case of a Joint Venture]

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (US\$ equivalent)
1		
2		
3		

3. Financial documents

The Applicant and its parties shall provide copies of financial statements for five years pursuant Section III, Qualifications Criteria and Requirements, Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Applicant or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

Attached are copies of financial statements¹⁴ for the five years required above; and complying with the requirements

¹⁴ If the most recent set of financial statements is for a period earlier than 12 months from the date of application, the reason for this should be justified.

Form FIN - 3.2: Average Annual Construction Turnover

[The following table shall be filled in for the Applicant and for at least one member of a Joint Venture, sufficient to satisfy the relevant criteria]

Applicant's/Joint Venture Member's Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant's Party Name: *[insert full name]*

Page *[insert page number]* of *[insert total number]* pages

Annual turnover data (construction only)			
Year	Amount Currency	Exchange rate*	USD equivalent
<i>[indicate calendar year]</i>	<i>[insert amount and indicate currency]</i>		
		Average Annual Construction Turnover **	

* Refer ITA 13 for date and source of exchange rate.

** Total USD equivalent for all years divided by the total number of years. See Section III, Qualification Criteria and Requirements, Clause 3.2.

Form EXP - 4.1: General Construction Experience

[The following table shall be filled in for the Applicant and for at least one member of a Joint Venture, sufficient to satisfy the relevant criteria]

Applicant's/Joint Venture Member's Name: *[insert full name]*

Date: *[insert day, month, year]*

Applicant JV Party Name: *[insert full name]*

Page *[insert page number]* of *[insert total number]* pages

[Identify contracts that demonstrate continuous construction work over the past [number] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 4.1. List contracts chronologically, according to their commencement (starting) dates.]

Starting Year	Ending Year	Contract Identification	Role of Applicant
<i>[indicate year]</i>	<i>[indicate year]</i>	Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>
		Contract name: <i>[insert full name]</i> Brief Description of the Works performed by the Applicant: <i>[describe works performed briefly]</i> Amount of contract: <i>[insert amount in currency, mention currency used, exchange rate and US\$ equivalent*]</i> Name of Employer: <i>[indicate full name]</i> Address: <i>[indicate street/number/town or city/country]</i>	<i>[insert "Prime Contractor" or "JV Member" or "Sub-contractor" or "Management Contractor"]</i>

* Refer ITA 13 for date and source of exchange rate.

Form EXP - 4.2(a): Specific Construction and Contract Management Experience

[The following table shall be filled in for the Applicant and for at least one member of a Joint Venture, sufficient to satisfy the relevant criteria]

Applicant's/Joint Venture Member's Name: *[insert full name]*

Date: *[insert day, month, year]*

JV Party Name: *[insert full name]*

Page *[insert page number]* of *[insert total number]* pages

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Award date	<i>[insert day, month, year, i.e., 15 June, 2015]</i>			
Completion date	<i>[insert day, month, year, i.e., 03 October, 2017]</i>			
Role in Contract <i>[check the appropriate box]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount	<i>[insert total contract amount in local currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>	
If member in a JV or sub-contractor, specify participation in total Contract amount	<i>[insert a percentage amount]</i>	<i>[insert total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>	
Employer's Name:	<i>[insert full name]</i>			
Address:	<i>[indicate street / number / town or city / country]</i>			
Telephone/fax number	<i>[insert telephone/fax numbers, including country and city area codes]</i>			
E-mail:	<i>[insert e-mail address, if available]</i>			

* Refer ITA 13 for date and source of exchange rate.

Similar Contract No. <i>[insert number] of [insert number of similar contracts required]</i>	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	<i>[insert amount in local currency, exchange rate, US\$ in words and in Figures]</i>
2. Physical size of required works items	<i>[insert physical size of items]</i>
3. Complexity	<i>[insert description of complexity]</i>
4. Methods/Technology	<i>[insert specific aspects of the methods/technology involved in the contract]</i>
5. Construction rate for key activities	<i>[insert rates and items]</i>
6. Other Characteristics	<i>[insert other characteristics as described in Part B, Project Description & Information]</i>

Section V. Eligible Countries

Eligibility for the Provision of Goods, Works and Services

In reference to ITA 4.1, for the information of the Applicants, at the present time entities and individuals, supply of goods, or contracting of works or services, from the following countries are excluded from this prequalification process:

Burma
Cuba
Iran
North Korea
Sudan
Syria

In addition, entities and individuals who are listed on:

- UK: HM Treasury 'Consolidated List of Financial Sanctions Targets in the UK';
- EU: Consolidated list of persons, groups and entities subject to EU financial sanctions;
- UN: Consolidated United Nations Security Council Sanctions List; and
- US: the Specially Designated Nationals and Blocked Persons List (each an “SDN”) published by the U.S. Department of the Treasury Office of Foreign Assets Control (as well as entities owned by an SDN);

will be excluded from this prequalification process.

PART B – Project Description & Information

Section VI. Project Description and Outline Scope of Works and Service

Background

Introduction

Lake Victoria is strategically located at the convergence of two major transport corridors in the East African region—the Lake has the potential to transform regional trade and transport. However, the potential for marine transport has not been fully realized, due to the poor condition of the operating vessels and the port infrastructure as well as the lack of specialized equipment. Moreover, forecasted regional traffic growth is likely to overwhelm the existing infrastructure.

The Lake Victoria Marine Transport Project (“LVMT” or, the “**Project**”) involves the design, development, construction, financing, ownership and operation of one roll-on/roll-off freight ferry with the option of a second vessel exercisable by EAMT to provide a scheduled service initially between the Ports of Mwanza South (Tanzania) and Port bell (Uganda). Each roll-on/roll-off passenger ferry (“**RoPax**”) will have a capacity of approximate 1,200 dwt., 450 lane meters and 60 passengers.

Established entities with the requisite experience and capabilities are invited to express their interest in pre-qualification for the procurement of Marine Vessel Builder (“**MVB**”) that will provide a scope of services including design, procurement and construction of a single 1,200 dwt. RoPax vessel, with the option of delivering a second vessel (as further described in Scope of Works below) to EAMT in respect of the Project, by completing and submitting this PQQ and associated forms by the date set out in the ITA.

Institutional Framework

The Project was originated by eleQtra, in its capacity as principal developer of InfraCo, and has led its development from inception to date. Grindrod and InfraCo have partnered to jointly invest in the Project through EAMT. In addition, EAMT and Grindrod will enter into a Commercial Services Agreement pursuant to which Grindrod will provide commercial freight and logistics services to EAMT. The Project is being co-funded by Grindrod, and InfraCo. The sole shareholder and funder of InfraCo is PIDG.

Grindrod is an integrated freight logistics and shipping service provider, incorporated in South Africa with extensive experience in the management, logistics and operations of marine freight vessels and port terminal in sub-Saharan Africa.

eleQtra is a private limited company incorporated in England with expertise in the development of, and investment in, infrastructure projects in Sub-Saharan Africa.

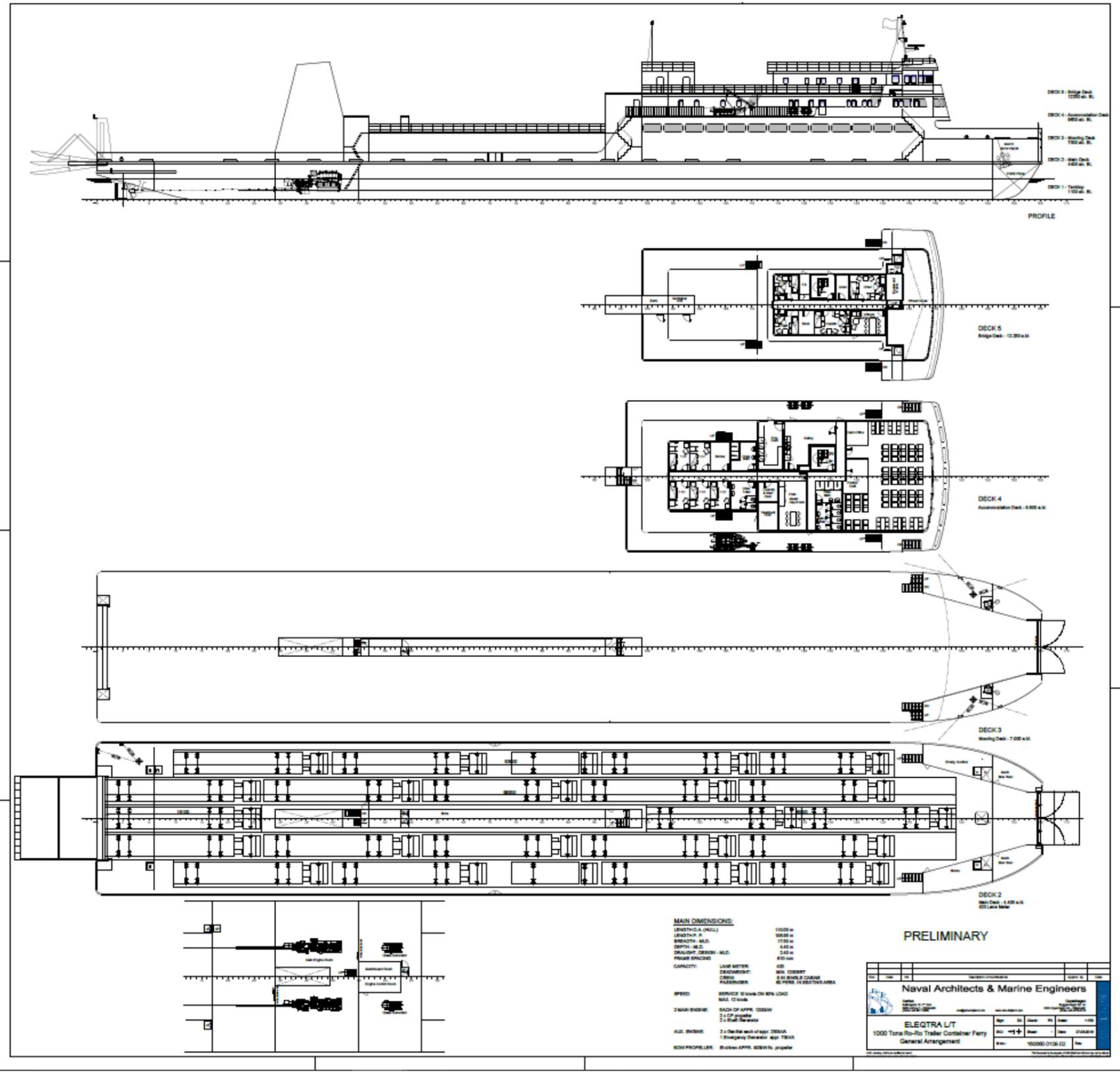
Project Description

The Project involves the procurement and operation of one purpose built RoPax cargo vessel, with an option for a second vessel, of up to 1,200 dwt, 450 lane meter capacity each, serving initially the ports of Port Bell in Uganda and Mwanza South in Tanzania. These vessels would operate under a professionally managed and fixed-day schedule. The vessels will be capable of handling tractor-trailers, trailers, intermodal containers and break-bulk cargo. The cargo that will likely be carried includes cement, construction materials, iron and steel products, and agricultural products.

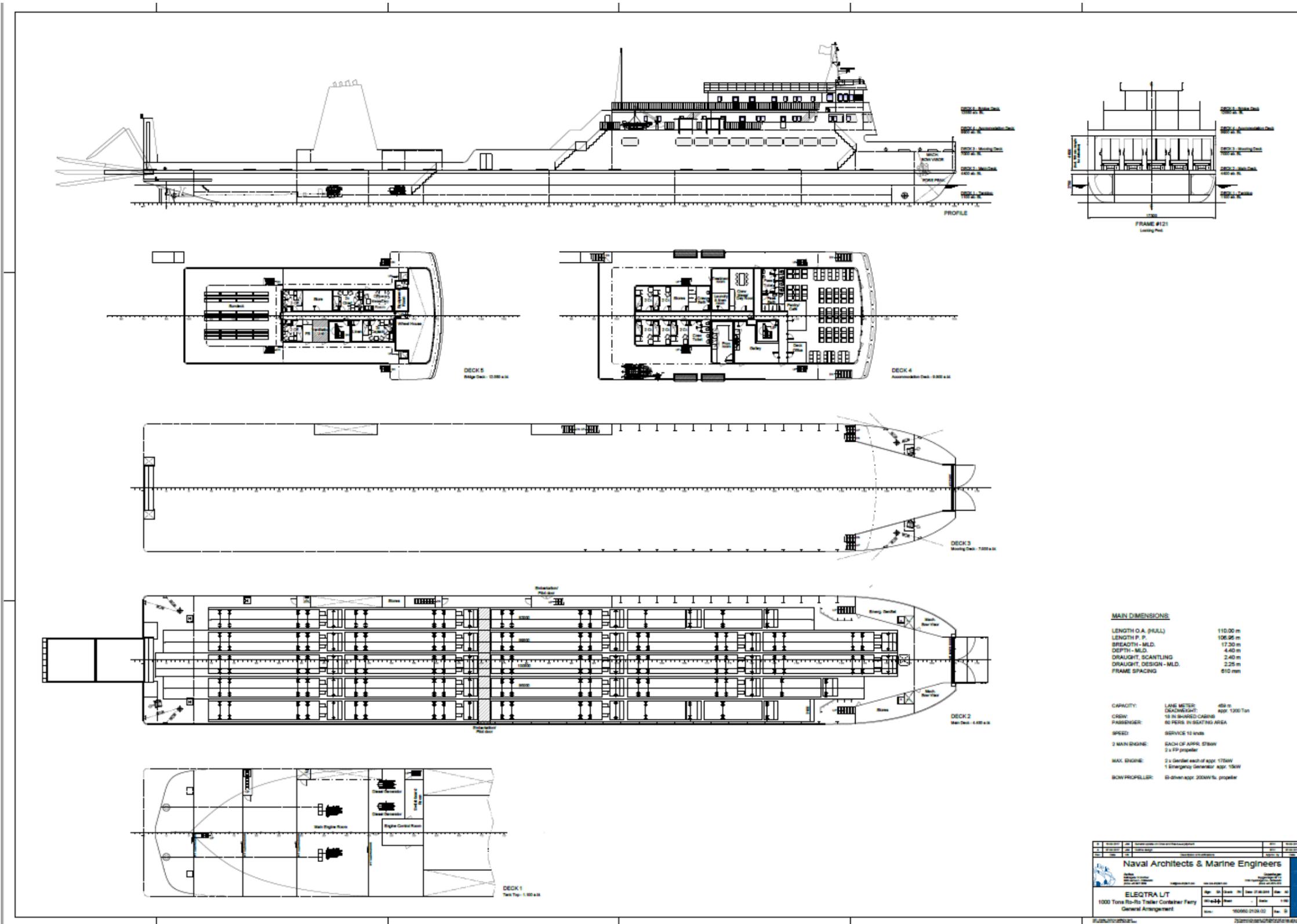
In addition to conforming to classification society's requirements, the vessel(s) will need to be designed and constructed and certified according to international maritime standards, including the International Maritime Organization (IMO) standards, as well as requirements and standards of the Lake Victoria Transport Act, 2007 and the Lake Victoria Transport (Maritime Safety) Regulations, 2010. During construction, full-time oversight will be performed, including regular visits by EAMT's marine engineer to ensure that the vessel(s) are being constructed according to the technical specifications, on schedule and within budget. Also during this period, the classification society will schedule periodic visits to the vessel(s) to ensure conformance to classification standards. Once the vessels are constructed, sea trials will commence and shall be overseen by EAMT's marine engineer. The vessel(s) will only be accepted once they have met all of the performance standards and testing requirements as specified.

The vessel(s) will be operated with a safety management system specifically prepared by the EAMT. The master, officers and crew of the vessels will be employees of the EAMT, and a minimum training package will be included as part of the procurement, and will participate in the commissioning, start-up and sea trials of the vessel(s).

Preliminary sketch designs of the proposed vessel have been prepared by OSK-ShipTech A/S ("OSK") as part of the conceptual General Arrangement and are provided on the subsequent pages. These general arrangement plans are included herein solely for information purposes to inform the Applicant of what EAMT is looking to procure and to ensure it has the necessary technical and financial capacities to participate in this tender.



1,000 ton vessel



1,000 ton vessel b

Scope of Work

The MVB will provide construction/building services to EAMT for construction of a single approximately 1,200 dwt 450 lane meter cargo vessel, with the potential for a second vessel at the option of the EAMT, on a fixed price, lump sum, turnkey basis. EAMT will own and operate the vessel/s and be responsible for their operation (including crewing, operational licensing and permitting) and maintenance.

The services to be provided by the MVB will include, but not necessarily be limited to:

1. Detailed design of the vessel, adhering to the outline specifications to be provided to successful Applicants with the Bidding Documents;
2. Management of all Health, Safety and Environment (HSE) matters relating to construction and commissioning;
3. Procurement of all materials, machinery, equipment, staff for the construction of vessel;
4. Acquisition of all licences and permits required for completion vessel building, including but not limited to:
 - a. Shipyard improvements,
 - b. Construction works,
 - c. Any other consent deemed necessary at the time.
5. Construction for the vessel;
6. Testing and commissioning of and certification of the vessel including provision of necessary trade permits and certificates;
7. Clearance of defects on a turnkey basis;
8. Delivery of the vessel to Port Bell;
9. Training of the local crew;

These services will be performed in accordance with the requirements and guidelines set out in the Bidding Documents provided to successfully shortlisted Applicants.

The qualified MVB should note:

1. The vessel may be built at any suitable shipyard location on Lake Victoria;
2. The vessel construction must conform to the host countries rules and regulations concerning environmental health and safety regulations and the IFC's Environmental and Social Performance Standards (2012) and EHS Guidelines (2007);
3. The vessel shall be designed for approximately 1,200 dwt capacity and 450 lane meter; expected to enter into commercial service in the 1st quarter, 2022 or sooner. A potential second vessel, construction at the option of the EAMT would be expected to enter into commercial operation between 2023 and 2025.
4. The vessel will conform to a general outline specification as provided by EAMT; however, detailed design will be to the builder's own design and construction specifications that will be evaluated by the Owner's marine engineer during an agreed Plan Approval phase.